

# Web Applications and XML Technologies

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Flickr: CC-BY: Hall 1 from Stage by christopher.durant

So, er... how did 'I' get here?



Adam: I was just wondering if you still have space for a Speaker

Laurie: Would you be able to give a presentation on XForms, XPath, or XSLT that is "in-practice" oriented

Adam: That does sound perfect, I would like to talk on building entire Web Applications in XML technologies, in fact I just gave a lightning talk on this last night



# I'll be right there...



# Adam Retter



Graduate Software Engineer

Programming for ~19 years

Building Web Applications for ~13 years

Career:

Not-for-Profit Organisation

Local Government

Private Sector

Consultant

Director of eXist Solutions

XML adventures  
and  
eXist-db contributor



# The Plan...

**1. Examine the current approach of Web App development**

**2. Looking at building Web Apps in XML Technologies**

**3. Conclusions**

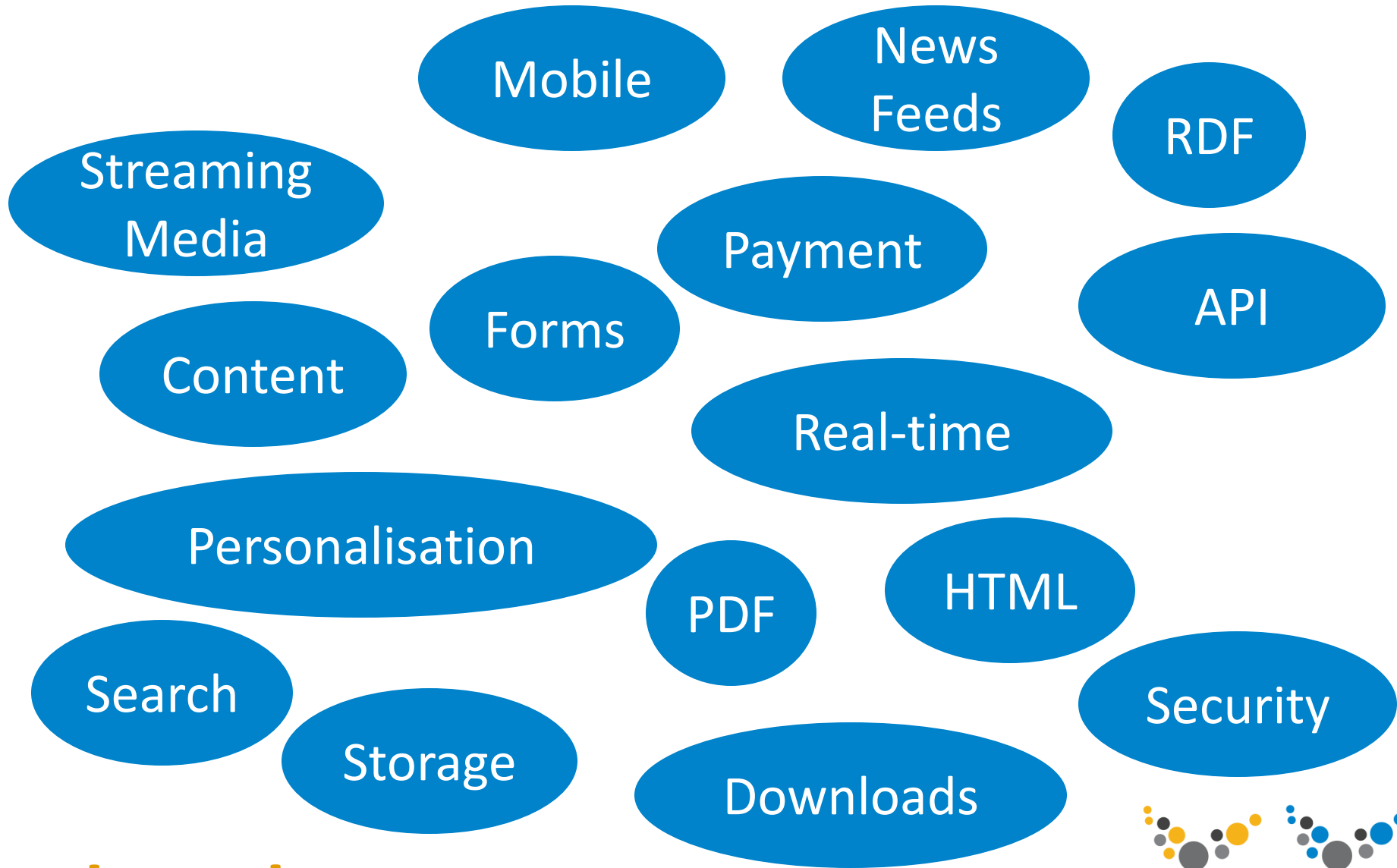


# Act 1. Examining the current approach of Web App Development

- How are Web Applications built?
- How have they evolved?



# Websites consist of:

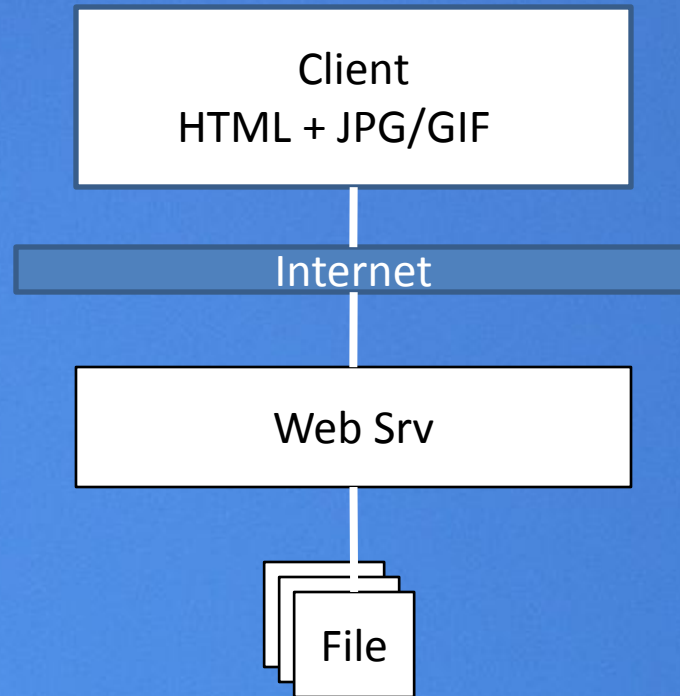


and much more...



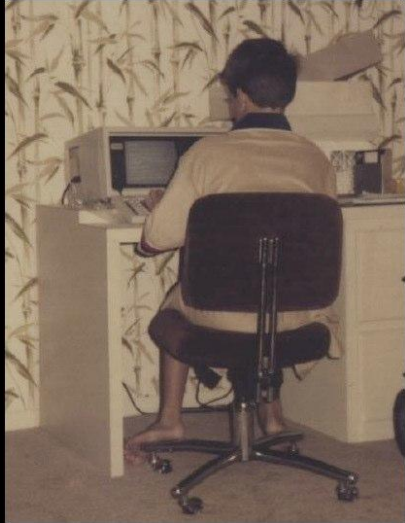


# Classic Web Architecture



# Then the Web took off...

Flickr: CC-BY: The Hacker by s\_w\_ellis



## Web Master

Just one guy?!?



Flickr: CC-BY: New Office by Phillie Casablanca

## Web Team

- Content Writers
- Marketing
- Graphic Designers
- Front-end coders
- Back-end coders
- DBAs
- Sys. Admins
- Testers



# Modern Web App Architecture

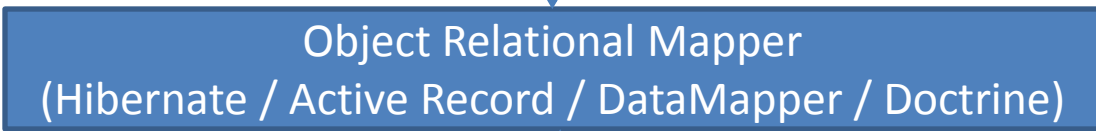
## Presentation

Front-end



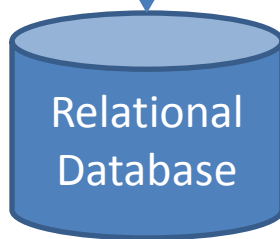
## Processing

Middle tier



## Data

Back-end



# Modern Web App Architecture

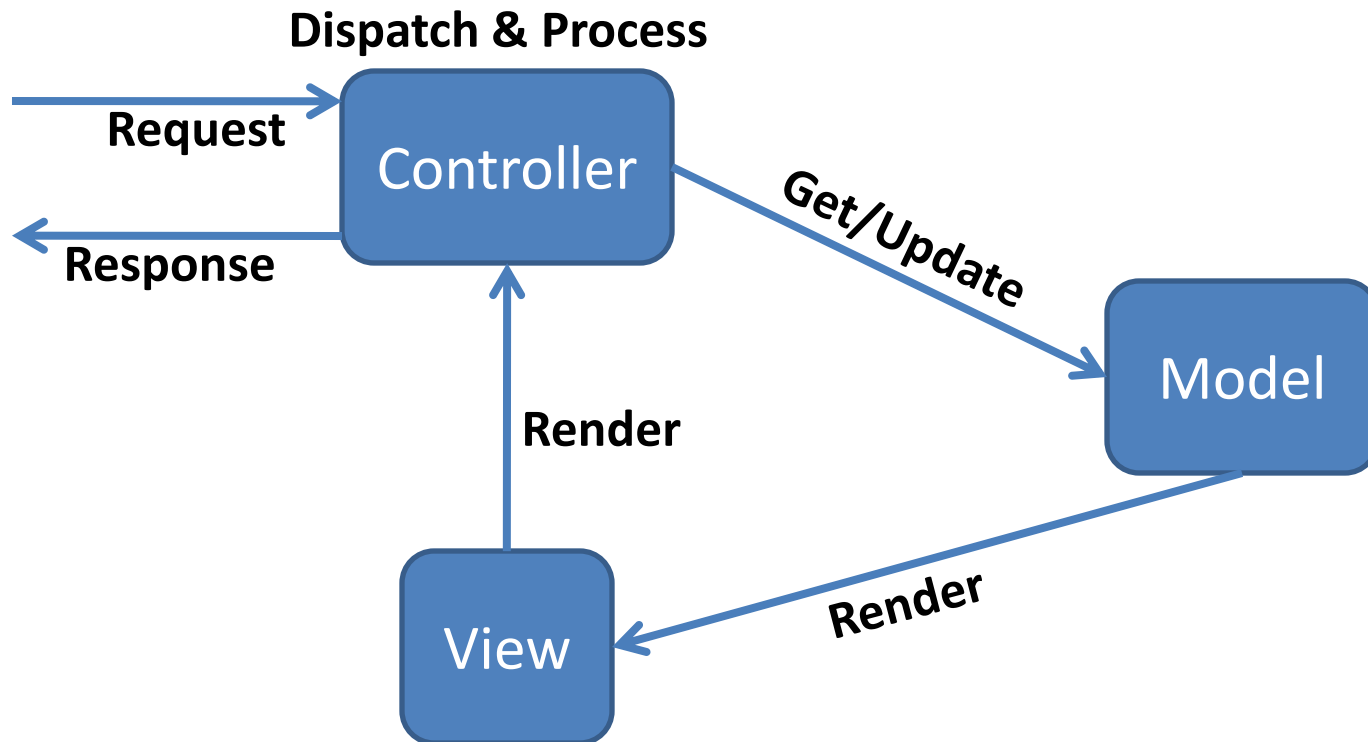
## Middle-Tier Good Practice

- Decompose into Presentation, Processing and Data
  - Separate business logic, formatting and data
  - MVC / MVP / MVVC approaches and frameworks help
- Frameworks to speed up development time
  - Spring, Facelets, Rails, Symphony, etc. etc.
- Do not embed SQL code in your business code
  - In fact, do not talk to the RDBMS... Use an ORM!



# Model View Controller

- Its New! Its Old! 1979, Trygve Reenskaug, Xerox PARC
- Its a design pattern, suitable for anything with a UI\*
- Separation of Concerns

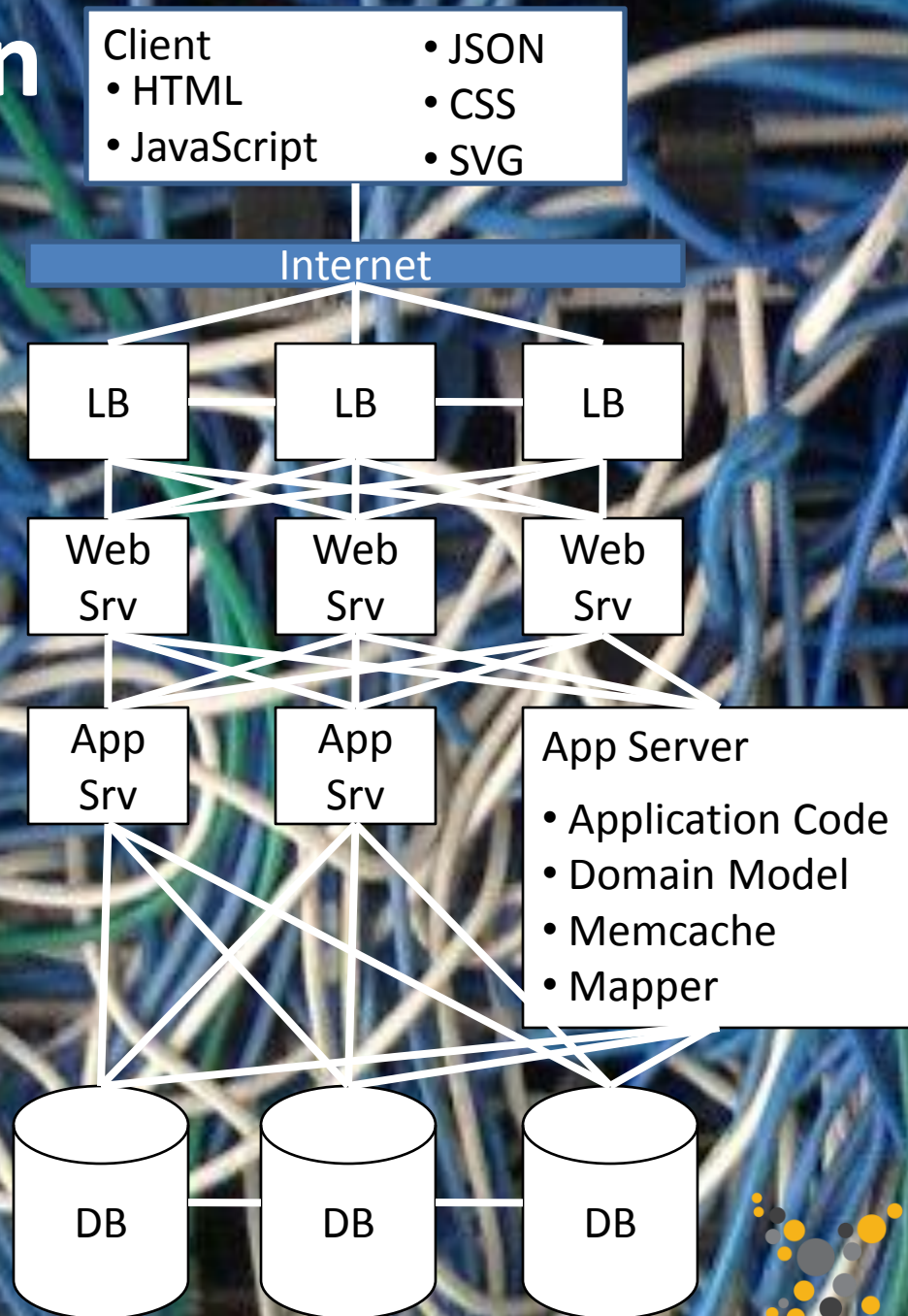


# Modern Web Apps

tear  
down  
the  
wall  
between  
client/server



# Web Application System Architecture became more complex



Where are  
all the angle  
brackets, **W t f ?**

Is this an “XML”  
conference?

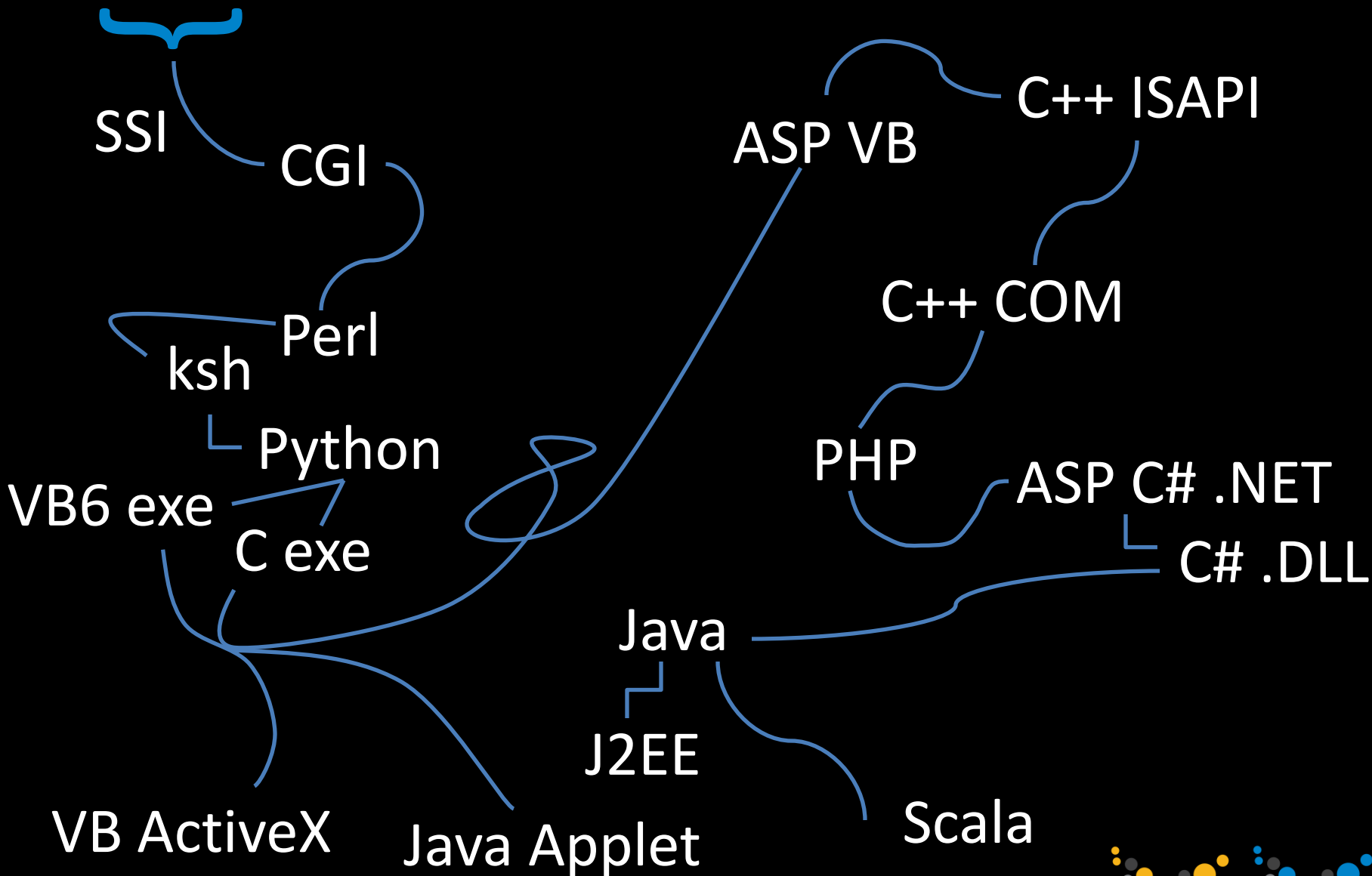


# Act 2. Looking at building Web Apps in XML Technologies

- Why Act. 1, why not XML?
- XML Web App Technologies
  - XPath
  - XQuery and XSLT
  - XForms



# In my past (maybe yours), I built Web apps using...



# The Question:

XML is arguably the best approach for exchanging information between systems, considering the Web as the biggest distributed system...

*Why is the Web  
not built on XML?*



# An Answer – Bad Timing?



1999	HTML Tags	
1993	HTML Draft	
1995	HTML 2.0 <form/>	
1996	JavaScript 1.0	XML 1.0 (D)
1997	HTML 3.2 <script/> HTML 4.0 JavaScript 1.2	
1998		XML 1.0 (R) XSLT 1.0 (D) XHTML 1.0 (D)
1999	HTML 4.01	XPath 1.0 XSLT 1.0 (R) XHTML 1.1 (D)
2000		XForms 1.0 (D)
2001		XQuery 1.0 (D) XPath 2.0 (D)
2002		XML 1.1 (D)
2003		XForms 1.0 (R)
2004		XML 1.1 (R) XHTML 1.0 (R)
2007	HTML 5 (D)	XQuery 1.0 (R) XPath 2.0 (R) XQuery 3.0 (D)
2009		XForms 1.1 (R)



Maybe you shouldn't worry?

HTML is *almost* XML

...it's close enough that we can  
'tidy' it into XML. (not XHTML)



# An Answer – Well, it is a little bit!

## XML seen as good for:

- (Web) App Integration (APIs)
  - XML inside SOAP or REST
- (Web) Apps invoke Business Services
  - Service Bus and Messaging (XML)
- Complex (Web) App Configuration
  - Typically a bunch of XML files



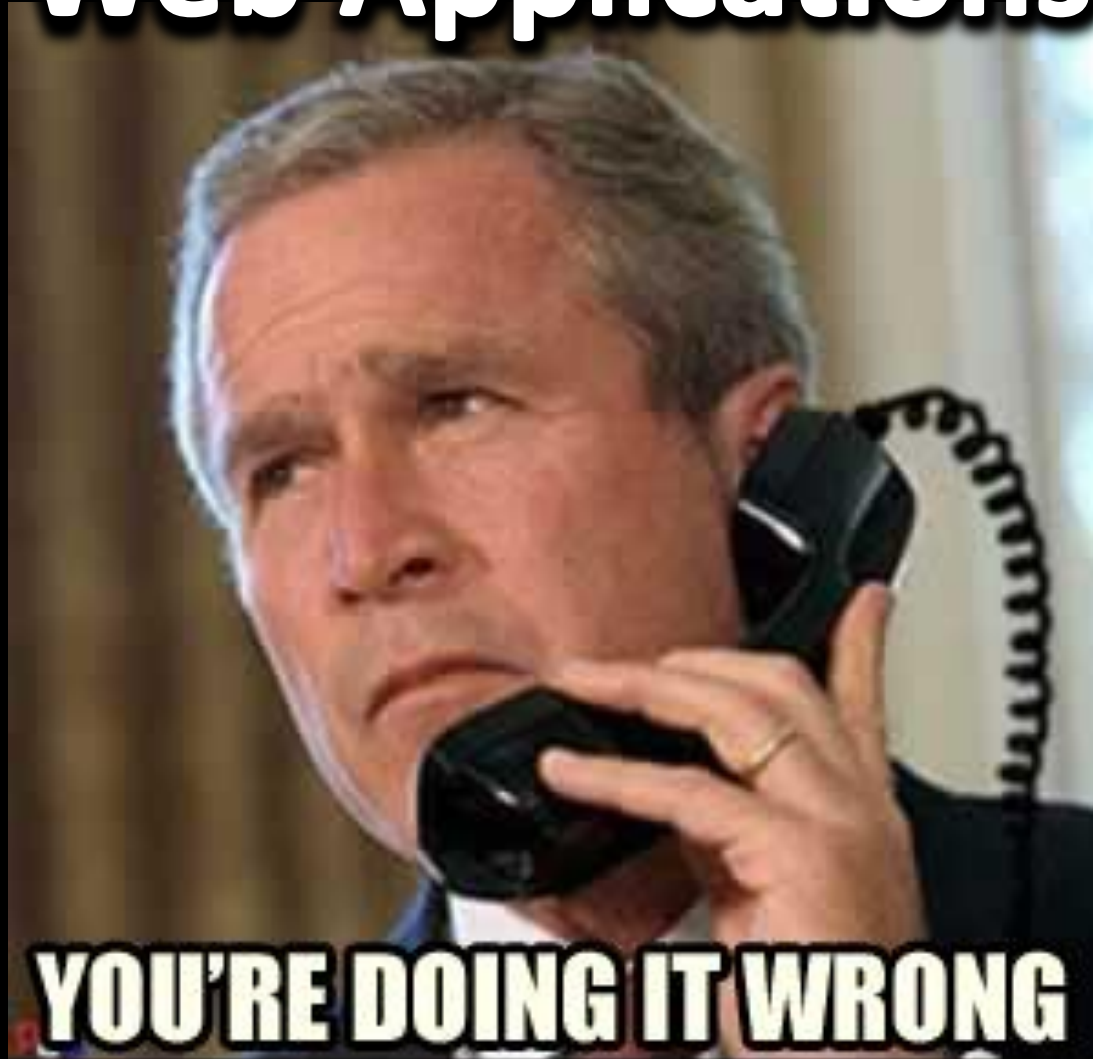
It had been a dark time,  
but then...

XML

There were enough XML  
specifications, to build a complete  
Web app, with just  
XML technology!



# Web Applications



**YOU'RE DOING IT WRONG**





**We can build entire Web Applications  
with XML technologies!**



**...actually, since 2004!**



# Why build Web Apps in XML technologies?

- **(Data) Shape**

- Already XML or even HTML?
- Based around documents?
- Natural tree structure?
- Hard to model in an RDBMS?

- **Relaxed Schema or Schema Free**

- Shape of your data is unknown?
- Schema is flexible or does not exist?
- Shape is always evolving?

- **Delivery**

- Main output will be HTML (XHTML) or XML
- Many different transformations  
(RDF, CSV, JSON, PDF, SVG etc)



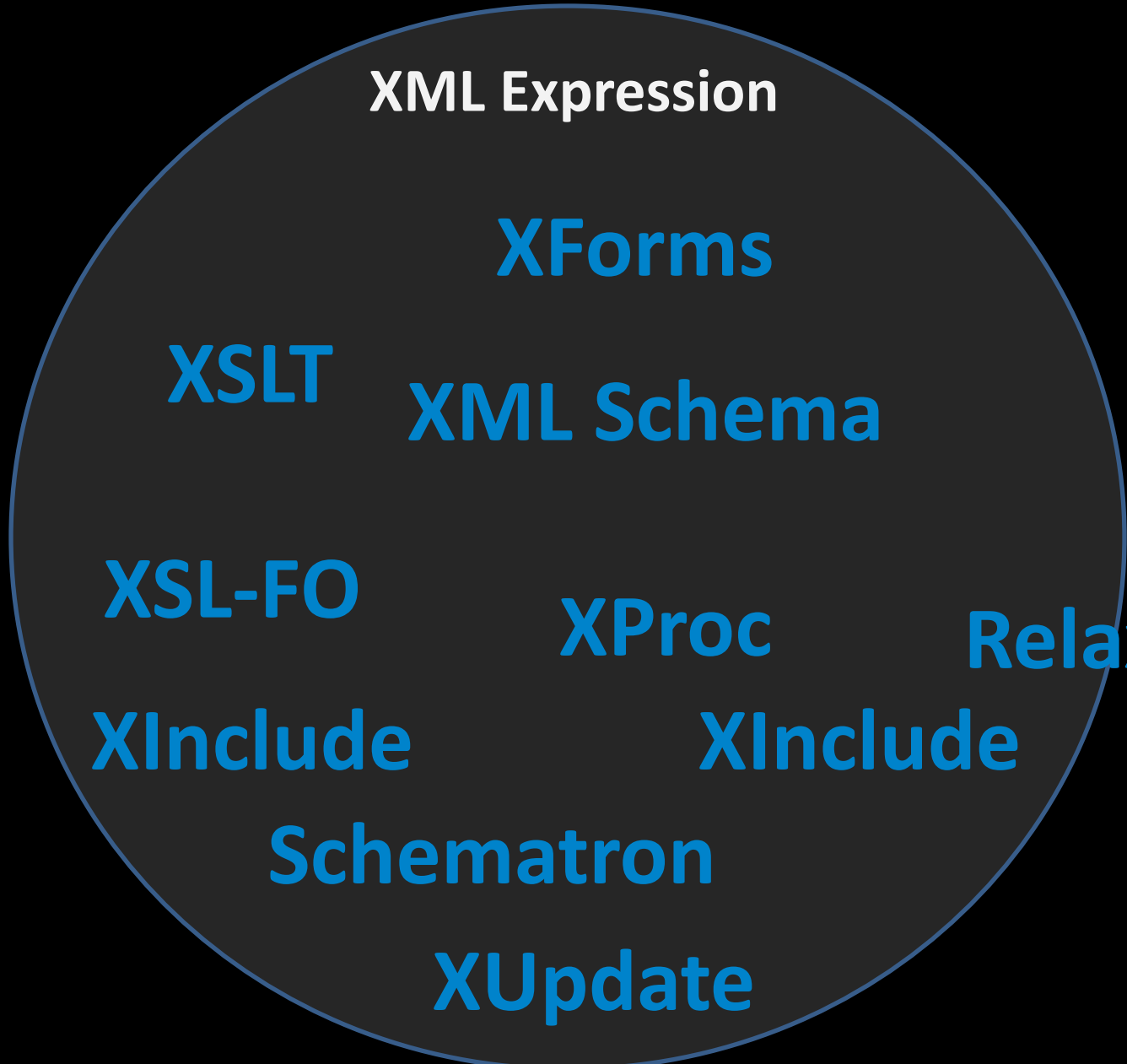
# How to build Web Apps in XML technologies?

Just like any Web App, adhere to good design:

- Think hard
- Design the Model first
- Plan the Business Services
- Code (Separation of Concerns e.g. MVC)
- Document everywhere
- Test, Test, Test. (TDD is still possible)
- Keep the Model clean
- ...and repeat!



# Which XML technologies?



XPath

XPointer

XQuery

DTD

Relax-NG

XInclude



# Which XML technologies to focus on?



## **XPath** (XML Path Language)

- Underpins or used by many other XML specifications

- **XQuery** (XML Query Language)

- **XSLT** (Extensible Stylesheet Language for Transformations)

- **XForms** (“the successor to HTML forms”)

and now for a basic overview...



# XPath - XML Path Language

## W3C Specification

1.0 recommended in 1999

2.0 recommended in 2009

“XPath gets its name from its use of a path notation as in URLs for navigating through the hierarchical structure of an XML document”

**“The primary purpose of XPath is to address parts of an XML document”**

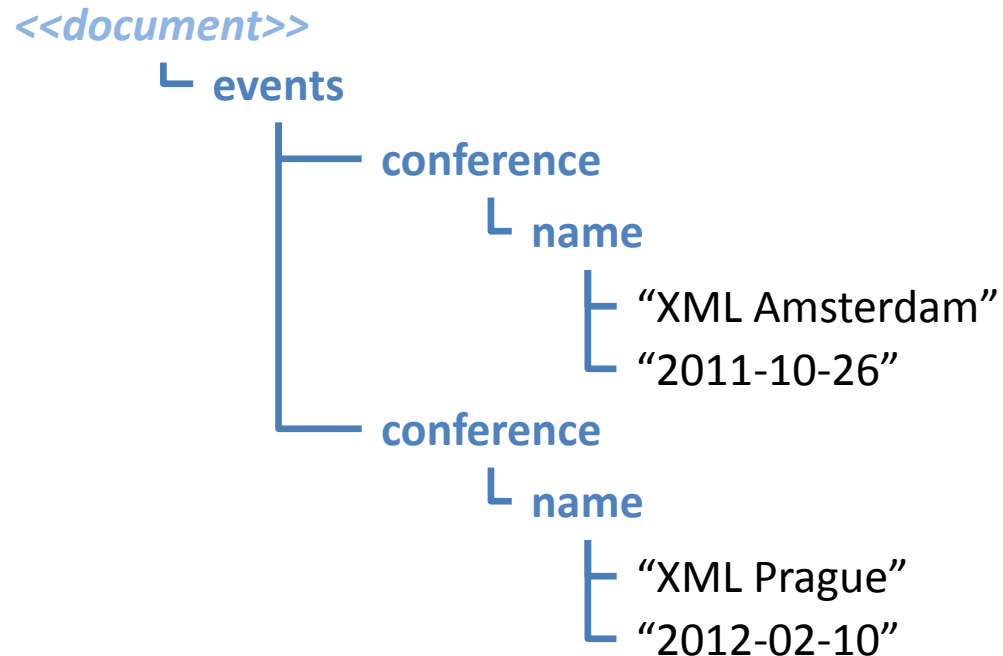
*Quotes taken from: Chapter 1. Introduction of XML Path Language (XPath) Version 1.0 W3C Recommendation 16 November 1999*



# XPath Fundamentals

- Consider that an XML Document is really a Tree
  - Describe *how* to reach a Node in the Tree
- Similar to addressing Directories and Files in your Filesystem

```
<?xml version="1.0" encoding="UTF-8"?>
<events>
  <conference ref="xmlams11">
    <name>XML Amsterdam</name>
    <date>2011-10-26</date>
  </conference>
  <conference ref="xmlprg12">
    <name>XML Prague</name>
    <date>2012-02-10</date>
  </conference>
</events>
```



# XPath – Path Expressions

- XPath to address the conference(s)?

**`/events/conference`**

Result:

```
<conference ref="xmlams11">  
  <name>XML Amsterdam</name>  
  <date>2011-10-26</date>  
</conference>  
<conference ref="xmlprg12">  
  <name>XML Prague</name>  
  <date>2012-02-10</date>  
</conference>
```

- XPath to address the conference(s) name?

**`/events/conference/name`**

Result:

```
<name>XML Amsterdam</name>  
<name>XML Prague</name>
```





# XPath – Axes

- Allow more complex navigation in the path
  - From the current context (Node)
  - Navigate both Up and Down the Tree

Forward (i.e. down or after)		Backward (i.e. up or before)	
Un-Abbreviated	Abbreviated	Un-Abbreviated	Abbreviated
child	/	parent	..
descendant	./	ancestor	
following-sibling		preceding-sibling	
following		preceding	
self	.	ancestor-or-self	
descendant-or-self	//		
attribute	@		
namespace			

**combine with node or name tests...**



# XPath – Node Kind and Name Tests

- Allow greater precision in the path expression
  - **Node Kinds**
    - document-node()
    - node()
    - element()
    - attribute()
    - text()
    - comment()
  - **Name Tests**
    - document-node(element(*events*))
    - element(*conference*)
    - attribute(*ref*)



# XPath – Axis and Tests Examples

- XPath to address all the name elements  
`/descendant-of-self::element(name)`  
*or*  
`//element(name)`  
*or*  
`//name`
- XPath to get all of the text about all of the conferences  
`/child::element(events)/child::element(conference)`  
`/descendant-or-self::text()`  
*or*  
`/element(events)/element(conference)//text()`  
*or maybe?*  
`//conference//text()`



# XPath – Predicates

- Allow you to address an item in a sequence
- XPath to address the first conference?

**`/events/conference[1]`**

Result:

```
<conference>
  <name>XML Amsterdam</name>
  <date>2011-10-26</date>
</conference>
```

- XPath to address the last conference?

**`/events/conference[last()]`**

***or* `/events/conference[count(..//conference)]`**

Result:

```
<conference>
  <name>XML Amsterdam</name>
  <date>2011-10-26</date>
</conference>
```



# XPath – Predicates

## Allow you to filter nodes

- XPath to address the name of all conference(s) after 2011?

`/events/conference[date gt "2011-01-01"]/name`

Result:

```
<name>XML Amsterdam</name>
```

```
<name>XML Prague</name>
```

- XPath to get the date the conference 'xmlams11'?

`/events/conference[@id eq "xmlams11"]/date`

Result:

```
<date>2011-10-26</date>
```

- Predicates can make use of axes, node and name tests and also *functions and operators*.



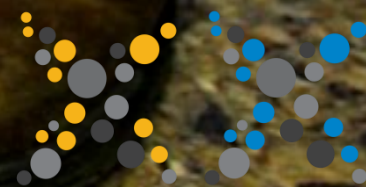
# XPath – Conclusion

- Simple yet powerful
  - Describe how to access data
  - Not a single line of code for DB access or type conversion!
- XPath 2.0 adds even more
  - sequences
  - if/then/else
  - for loops
  - conditionals: some/every satisfies
  - Separate spec. for many functions and operators
    - W3C XQuery 1.0 and XPath 2.0 Functions and Operators
- For Learning: W3Schools tutorial and W3C specifications





Ready to go for some more?



# XQuery and XSLT are like twins

## XQuery – XML Query Language

W3C Specification

1.0 recommended in 2007

3.0 recommended in 2012?

*In almost any situation  
you could use either!*

## XSLT – XSL for Transformations

W3C Specification

1.0 recommended in 1999

2.0 recommended in 2007

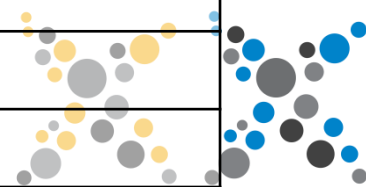
3.0 recommended in 2012?



# Why are XQuery and XSLT like twins?

- Similarities:
  - W3C standards with good tools available
  - Built on top of XPath. Data Model, Type System and functions
  - *Can* be used to query XML
  - Transform XML into different outputs
  - Turing complete!
- Differences:

<b>XQuery</b>	<b>XSLT</b>
Bespoke Syntax (compact)	XML Syntax (verbose)
Functional Programming	Template Processing
Functions and modules of functions	Imports and overrides
Extensions for Update, Scripting	No Update
<b>Suited to business processing</b>	<b>Suited to presentation</b>



# XHTML and XQuery vs. XSLT

## In XQuery:

```
<p>{current-dateTime()}</p>
```

## In XSLT:

```
<p><xsl:value-of select="current-dateTime()" /></p>
```



# XQuery – Key Points for Beginners

- Fully functional programming language
- Based around FLWOR statements

e.g. `for $x in doc("mydoc.xml")//conference  
let $date := $x/date  
where $date lt current-date()  
order by $date descending  
return $x`

- Seamless XML processing: Type model is XML type model.
- Easier to learn than XSLT, even though its not XML!
- **Best suited to processing tasks**, use with XSLT for formatting
- Often XQuery impl. provide extensions: XSLT\*, XSL-FO, email, FTP, SQL, HTTP, NXDB etc. Also EXPath!
- **Well suited for use with XML Databases**



# XQuery example

(: Derive the settlement from the conference name and make it explicit :)

```
declare function local:add-settlement($nodes as node()*) {  
  for $node in $nodes return  
    typeswitch($node)  
      case element(name) return  
        (  
          $node,  
          <settlement>{substring-after($node, " ")}</settlement>  
        )  
      case element() return  
        element {node-name($node)} {  
          $node/@*,  
          local:add-settlement($node/node())  
        }  
      default return  
        $node  
};  
local:add-settlement(/events)
```



# XQuery example - results



```
<?xml version="1.0" encoding="UTF-8"?>
<events>
  <conference ref="xmlams11">
    <name>XML Amsterdam</name>
    <date>2011-10-26</date>
  </conference>
  <conference ref="xmlprg12">
    <name>XML Prague</name>
    <date>2012-02-10</date>
  </conference>
</events>
```

```
<?xml version="1.0" encoding="UTF-8"?>
<events>
  <conference ref="xmlams11">
    <name>XML Amsterdam</name>
    <settlement>Amsterdam</settlement>
    <date>2011-10-26</date>
  </conference>
  <conference ref="xmlprg12">
    <name>XML Prague</name>
    <settlement>Prague</settlement>
    <date>2012-02-10</date>
  </conference>
</events>
```



# XQuery – Tips!

- **Its a Functional Programming Language**

- Change the way you think about a Program!
- Think of a function as transforming input to output
- You cant have global/shared state – don't fight it!
  - Recursion and Function Passing are the answer
- Operate on Sequences where possible, do not loop!
  - Better optimisations possible

- **Know your Processor**

- **Just because you can, doesn't mean...**



Now for  
something  
much the  
same?!?

XSLT...



# XSLT – Key Points for Beginners

- Transformations part of the Extensible Stylesheet Languages
- Based around Templates, define your own templates and functions.

e.g.

```
<xsl:stylesheet version="2.0">  
  <xsl:template match="events">  
    <xsl:apply-templates/>  
  </xsl:template>  
  <xsl:template match="conference[date lt current-dateT  
    <xsl:value-of select="name"/>  
  </xsl:template>  
</xsl:stylesheet>
```

- Seamless XML processing: type model is XML type model.
- It's XML!
- **Best suited to** presentation tasks, e.g. generate HTML.
- Often XSLT impl. provide extensions: SQL, HTTP, Also XPath!
- **Well suited for use in processing pipelines**





# XSLT example

```
<xsl:stylesheet xmlns:xsl="http://www.w3.org/1999/XSL/Transform" version="2.0">
```

```
  <!-- Derive the settlement from the conference name and make it explicit-->
```

```
  <xsl:template match="events">
```

```
    <xsl:apply-templates/>
```

```
  </xsl:template>
```

```
  <xsl:template match="name">
```

```
    <xsl:copy><xsl:apply-templates/></xsl:copy>
```

```
    <settlement><xsl:value-of select="substring-after(., ' ')" /></settlement>
```

```
  </xsl:template>
```

```
  <xsl:template match="node() | @*">
```

```
    <xsl:copy>
```

```
      <xsl:apply-templates select="node() | @*" />
```

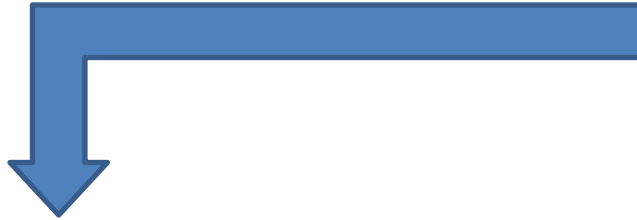
```
    </xsl:copy>
```

```
  </xsl:template>
```

```
</xsl:stylesheet>
```



# XSLT example - results



```
<?xml version="1.0" encoding="UTF-8"?>
<events>
  <conference ref="xmlams11">
    <name>XML Amsterdam</name>
    <date>2011-10-26</date>
  </conference>
  <conference ref="xmlprg12">
    <name>XML Prague</name>
    <date>2012-02-10</date>
  </conference>
</events>
```

```
<?xml version="1.0" encoding="UTF-8"?>
<events>
  <conference ref="xmlams11">
    <name>XML Amsterdam</name>
    <settlement>Amsterdam</settlement>
    <date>2011-10-26</date>
  </conference>
  <conference ref="xmlprg12">
    <name>XML Prague</name>
    <settlement>Prague</settlement>
    <date>2012-02-10</date>
  </conference>
</events>
```

*...Exactly the same  
as the XQuery  
results :-)*



# XSLT – Tips!

- (IMHO) **It is not a programming language**
  - Thinks in terms of templates
    - Keep templates small: Single Responsibility Pattern
    - Transforming input to output
    - Don't loop where you could use template matches
- **Start with an Identity Transform Pattern**
- **Logical layout – Follow Source or Dest. struct.**
- **Know your Processor**
- **Just because you can, doesn't mean...**

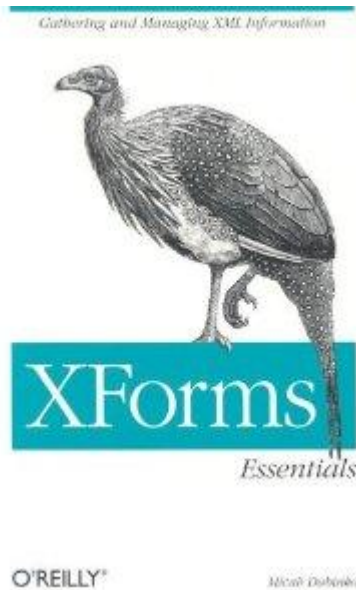


So, we can process XML and  
we can Transform XML, but how  
do we capture or edit it?

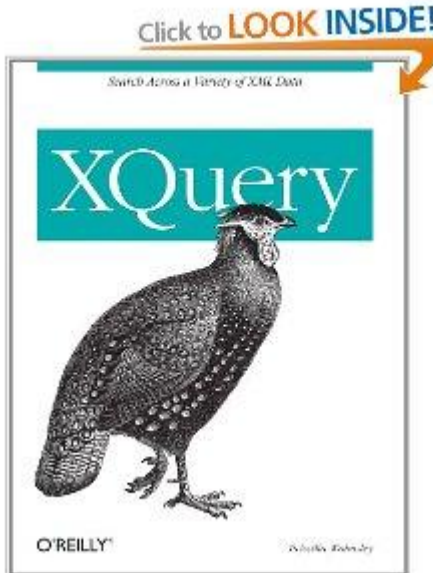
XForms

# XForms – Key Points for Beginners

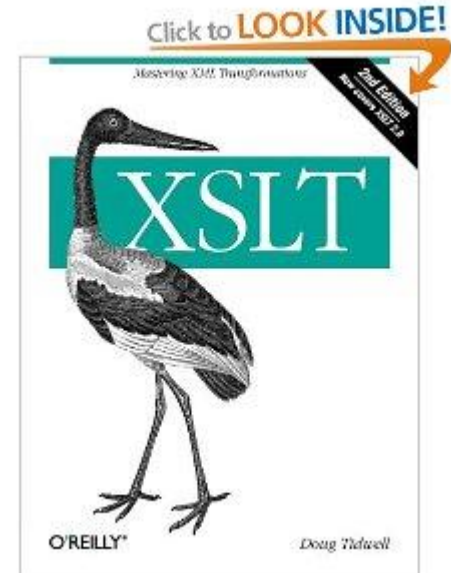
- It's Simple and Fun!
- This is *proven* by the fact that its the smallest XML book ;-)



240 Pages  
1.5 CM thick



512 Pages  
2.3 CM thick



988 Pages  
4.3 CM thick

...XForms is 3X simpler than XSLT ;-)



# XForms – Key Points for Beginners

- It's XML! It's *NOT* called XML Forms!!!
- **Not Standalone.** Embeds in a container
- Similar to HTML Forms *but* you get an **XML document as the result!**
- Enforces **Separation of Concerns**
  - **Data Model** – Declare your XML instance(s) structure
  - **Controls** – Your form input/output boxes/buttons etc
  - **Bindings** – Link the Controls to the Data Model
- Enforce field/data requirements
- Forms can be *complex!*
- **Needs a Processor!**



# Not XForms – A typical HTML Form

```
<form method="post" action="http://server.com/conference.php?action=add">
  <fieldset>
    <label for="name">Conference Name:</label>
    <input type="text" id="name"/>

    <label for="date">Conference Date:</label>
    <input type="text" id="date"/>

    <input type="submit" name="save" value="Save"/>
  </fieldset>
</form>
```

## At submit, server receives:

POST <http://server.com/conference.php?action=add>  
Content-Type: application/x-www-form-urlencoded

name=XML%20Amsterdam&date=October%2026%202011



# XForms example

## HTML Container

```
<html>  
<head>
```

```
<xf:model>  
  <xf:instance>  
    <events xmlns="">  
      <conference>  
        <name/>  
        <date/>  
      </conference>  
    </events>  
  </xf:instance>
```

## Instance

```
<xf:bind nodeset="conference/name" required="true()"/>  
<xf:bind nodeset="conference/date" type="date" required="true()"/>
```

## Bindings

```
<xf:submission id="s-send" method="post" resource="http://server.com/conference?action=send">  
</xf:submission>  
</xf:model>
```

```
</head>
```





# XForms example (continued)

```
<body>
```

```
<xf:group>
```

```
<xf:label>Conference registration form</xf:label>
```

```
<xf:input ref="conference/name" incremental="true">
```

```
<xf:label>Conference name:</xf:label>
```

```
<xf:hint>Enter the name of the Conference</xf:hint>
```

```
<xf:alert>You must enter a name for the Conference</xf:alert>
```

```
</xf:input>
```

```
<xf:input ref="conference/date" incremental="true">
```

```
<xf:label>Conference Date:</xf:label>
```

```
<xf:hint>Enter the start date of the Conference</xf:hint>
```

```
<xf:alert>You must enter a date for the Conference  
in the format "2010-12-25"</xf:alert>
```

```
</xf:input>
```

```
<xf:trigger src="images/icons/btn_save.gif">
```

```
<xf:label>Save</xf:label>
```

```
<xf:hint>Saves the conference details</xf:hint>
```

```
<xf:send submission="s-send"/>
```

```
</xf:trigger>
```

```
</xf:group>
```

```
</body>
```

```
</html>
```

## Controls



# XForms example (continued)

## At submit, server receives:

POST <http://server.com/conference?action=add>

Content-Type: text/xml

```
<events>  
  <conference ref="xmlams11">  
    <name>XML Amsterdam</name>  
    <date>2011-10-26</date>  
  </conference>  
</events>
```



# Some XForms features

- Triggers – Do Something, submit / state-change

```
<xf:trigger>  
  <xf:send submission="s-send"/>  
</xf:trigger>
```

- Repeats – Easily collect something N times

```
<xf:repeat nodeset="conference">  
  <xf:input ref="name"/>  
  <xf:input ref="date"/>  
</xf:repeat>
```

- Switches – Triggers can change the form

```
<xf:switch>  
  <xf:case id="in" selected="true">  
    <xf:input ref="yourname">  
  </xf:case>  
  <xf:case id="in" selected="false">  
    <xf:output ref="yourname">  
  </xf:case>  
</xf:switch>
```



# XForms Tips

- **Start Simple!**
- Do not reinvent the wheel: XML Schema for typing.
- Test troublesome complex forms as small snippets.
- Be plentiful with `xf:alert` and `xf:hint`
  - Use human understandable messages
- Instance from a URI; Same Form for Create or Edit
- Well Suited to RESTful environments
- **Always use a Server-Side processor**



# But, where does all the XML live?



# But where does the XML live?

**IN THE PAST...**

**BAD THINGS HAPPENED!**



- Lots of XML files, let's store them in the Filesystem
- We have a team of expensive RDBMS DBAs –
  - Store XML in BLOB/CLOB and some metadata
  - Shred XML into RDBMS
    - Manually – complex custom code
    - Automatically – (req. Schema) = big table mess
  - RDBMS introduced XMLType and XMLTable
    - Querying becomes near impossible!



# XML lives in a Native XML Database

- The right tool for the right job
- It understands XML!
- Logical model of Collections, Documents and Nodes
- Provides Store/Retrieve/Query/Transform
- Provides XML optimisations
  - Indexing of values and full-text
  - Very fast querying!
- Can often talk to traditional RDBMS



# XML and the Web

So we have:

- XML for the Data Model
- Native XML Database to store
- XML technologies to process

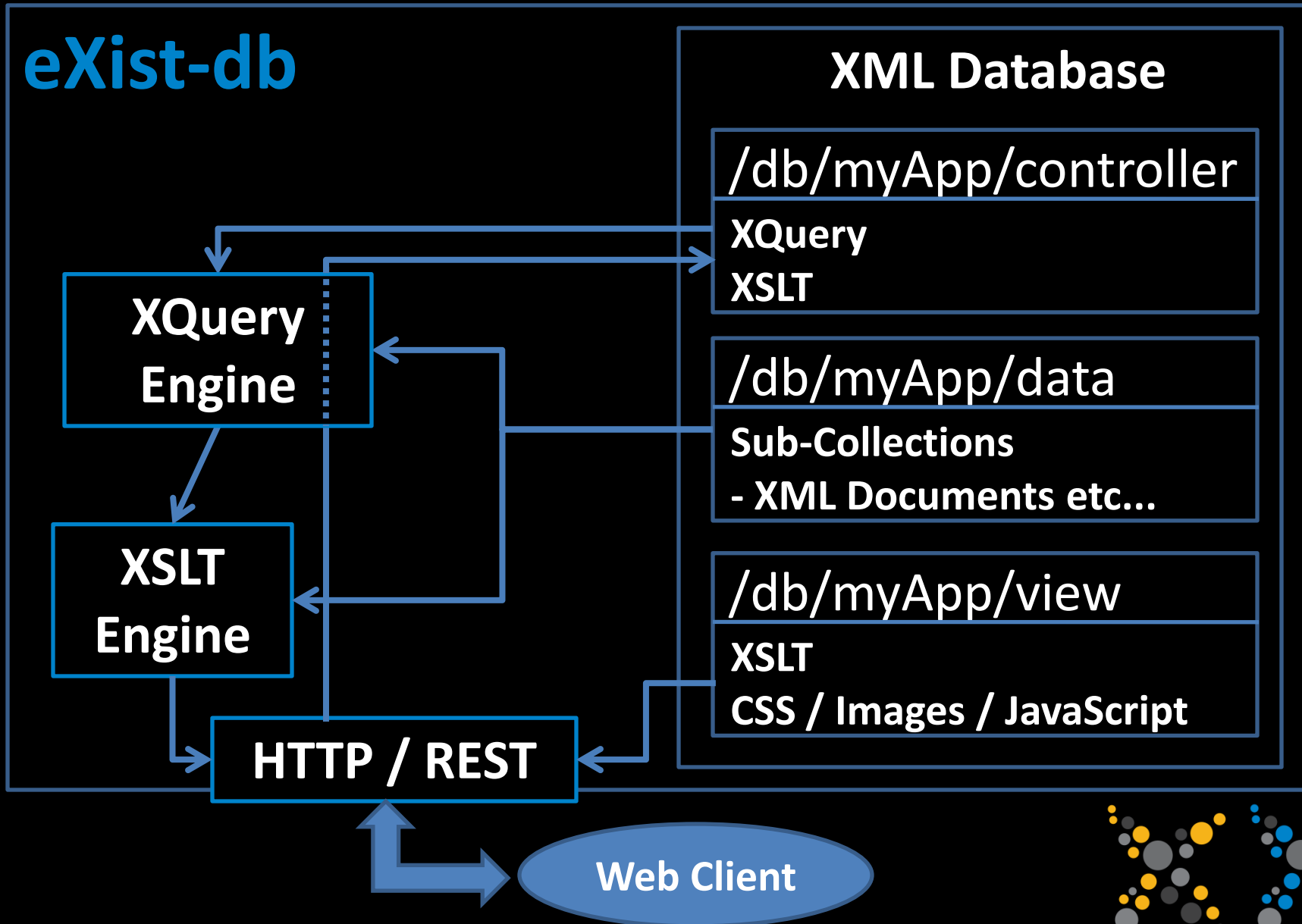
What about the web?

- Your XML Database / Application Platform delivers this all over HTTP





# XML and the Web



# What is XRX?

A Web Application Architecture

**XForms**

**REST**

**XQuery**



# XRX is not just XRX!

++

XSLT

EXPath

XInclude

XUpdate

XSL-FO

NXDB

*...or any/all XML technologies and REST*



# The XRX+ house

When everything is XML  
its like building with Lego



(me)

**XML** **Feels like a pipeline**

**XQuery**

**XQuery**

**XSLT**

**XForms**

**XHTML**



# How's it work?

REST Server



URL Rewriting (XQuery)



NXD ↔ XQuery ↔ XSLT



Serialization (XML / Text / XHTML / HTML5 / EXI / Binary)



XForm Filter



# ++ some extensions

email

SQL Math

XSL-FO

Security + ACL

File Utils

XQuery Image Processing

Subversion

ZIP + GZip

HTTP

XSLT

XMLDB

FTP

Date and Time

HTTP

XProc

XSLT

EXI

Geo-Spatial

Binary

HTTP Server

Versioning

EXIF

XMP

Full Text





# Standardise the extensions

## EXPath

[www.expath.org](http://www.expath.org)



SHARE  
THE  
ROAD





# But, what about...

- Layering/Separation of Concerns
  - Naturally: XHTML/XForms <- XSLT <- Xquery
    - You do not need data translation!
  - MVC: You can use XQMVC or Mustache or...
- Cool stuff in the browser (jQuery etc)
  - XML to JSON serialization
  - jquery.xqm – jQuery from XML grammar
  - XQiB – XQuery in the browser
    - XQuery everywhere!
    - Bindings to DOM and frameworks (e.g. jQuery)



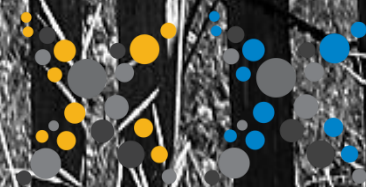
# Act 3. Conclusions

- Why XML for Web Apps?
- Demo
- Q & A



XPath/XQuery/XSLT/XProc/XForms

**No barriers between  
Data and Processing**



# No barriers between Data and Processing

**IMPORTANT:**

**This is the key to our simplicity!**

*3 become 1, for example:*

**PHP**  
**Doctrine**  
**SQL**



**XQuery**

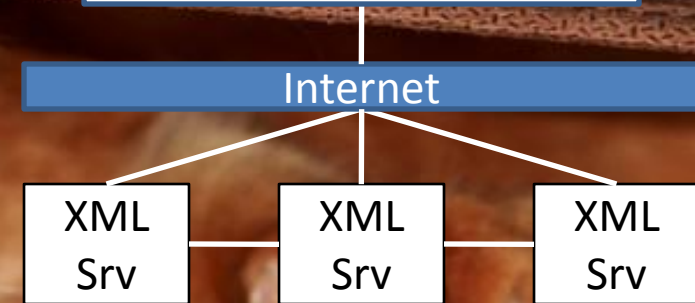


Your stack does not have to be complex...

# Flutter is better!

Client

- HTML
- Javascript
- JSON
- CSS
- SVG



# Fast development



**No Data Access Layer/Mapper**

**~ 57% LoC Reduction (ETH\*)**



**XQuery Web Apps make you  
feel Cool**

**Rapid**

**Easy**

**Agile**

**Safe**



# But the Kids...





# The Demo

Java, JSF, Spring, Hibernate	XML Technologies
925 Lines of Java	105 Lines of XQuery
652 Lines of XML	70 Lines of XSLT
165 Lines of XHTML	105 Lines of XForms
	15 Lines of XHTML
<b>1742 Lines</b> <b>1 Hour 24 minutes</b>	<b>295 Lines</b> <b>&gt; 30 minutes</b>

